

JOHN W. CIOFFI
Department of Political Science
University of California, Riverside
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CURRENT POSITION:

Department of Political Science
University of California, Riverside

Associate Professor
(July 2010 to present)

Assistant Professor, Department of Political Science
(July 2001 to July 2010)

Primary research areas: Comparative corporate governance (encompassing financial regulation, corporate law, and labor relations); public law and comparative regulation; comparative political economy; law and political economy (American and comparative); legal and regulatory reform; institutional theory and politics of institutional change

Teaching Fields: Comparative political economy, regulation and regulatory politics (American and comparative), institutional theory and analysis, American constitutional law (federal structure and powers, civil liberties, and criminal procedure), law and society

Current Project: Conflicts of Interest and Political Conflict: Power, Institutions, and the Problems of Constitutive Law

EDUCATION:

UNIVERSITY OF CALIFORNIA, BERKELEY
Department of Political Science
Ph.D. 2002, M.A. 1995
Fields of Study: Comparative Politics, Public Law, Political Theory

RUTGERS UNIVERSITY, SCHOOL OF LAW-NEWARK
J.D. with High Honors, 1990
Order of the Coif
Articles Editor, Women's Rights Law Reporter

RUTGERS UNIVERSITY, RUTGERS COLLEGE
B.A. with High Honors, 1986 (Political Science and English)

PRIOR ACADEMIC EMPLOYMENT:

UNIVERSITY OF CALIFORNIA, BERKELEY

Co-Instructor, Governance of the E-economy, PS 149, PS 201C, with Prof. John Zysman
(Spring 2001; Spring 2000)

RUTGERS SCHOOL OF LAW, NEWARK

Instructor, Legal Research & Writing
(1989-1990 academic year)

LEGAL EMPLOYMENT:

Attorney at Law, Debevoise & Plimpton

Litigation Department
875 Third Avenue, New York, New York 10022
(December 1991-May 1994)

Law Clerk to Hon. Dickinson R. Debevoise, United States District Judge United States District Court, District of New Jersey

Martin Luther King Federal Courthouse, Newark, New Jersey 07102
(September 1990-September 1991)

Summer Associate, Debevoise & Plimpton

New York, New York
(June-August 1989)

Judicial Intern to Justice Marie L. Garibaldi, New Jersey Supreme Court

William J. Brennan Courthouse, Jersey City, New Jersey
(January-May 1989)

PUBLICATIONS:

BOOK:

Public Law and Private Power: Corporate Governance Reform in the Age of Finance Capitalism, Cornell Studies in Political Economy, Peter J. Katzenstein, series editor (Cornell University Press, 2010)

ARTICLES:

“Fiduciaries, Federalization, and Finance Capitalism: Berle’s Ambiguous Legacy and the Collapse of Countervailing Power,” *Seattle University Law Review*, vol. 34, no. 4, pp. 1081-1120 (Summer 2011)

“The Global Financial Crisis: Conflicts of Interest, Regulatory Failure, and Politics,” *Policy Matters*, vol. 4, no. 1, pp. 1-12 (Spring 2010)

“Legal Regimes and Political Particularism: An Assessment of the 'Legal Families' Theory from the Perspectives of Comparative Law and Political Economy,” *Brigham Young Law Review* 101-152 (2010)

“Law & Development: Past Performance Is Not Indicative of Future Results,” in Symposium the Future of Law and Development, Part II, 104 *Northwestern University Law Review Colloquy* 174-178 (2009), <http://www.law.northwestern.edu/lawreview/colloquy/2009/38/>

“Adversarialism vs Legalism: Juridification and Litigation in Corporate Governance Reform,” *Regulation & Governance*, vol. 3, pp. 235-258 (September, 2009)

John W. Cioffi and Martin Höpner, “The Political Paradox of Finance Capitalism: Interests, Preferences, and Center-Left Politics in Corporate Governance Reform,” *Politics & Society*, vol. 34, no. 4, pp. 1-40 (December 2006)

John W. Cioffi and Martin Höpner, “Das parteipolitische Paradox des Finanzmarktkapitalismus: Aktionärsorientierte Reformen in Deutschland, Frankreich, Italien und den USA,” *Politische Vierteljahresschrift*, vol. 47, no. 3, pp. 419-440 (September 2006)

“Corporate Governance Reform, Regulatory Politics, and the Foundations of Finance Capitalism in the United States and Germany,” *German Law Journal*, Vol. 7, No. 6, pp. 533-562 (June 2006)

John W. Cioffi and Martin Höpner, “Left-wing support for shareholder capitalism?” *Der Mitbestimmung*, annual special English edition (Summer 2005)

John W. Cioffi and Martin Höpner, “Mit Links in den Shareholder-Kapitalismus?” *Der Mitbestimmung*, no. 4, pp. 16-19 (April 2005)

“Restructuring ‘Germany, Inc.’: The Corporate Governance Debate and the Politics of Company Law Reform,” *Law & Policy*, vol. 24(4), pp. 355-402 (October 2002); republished on line by VC Experts, Encyclopedia Entry 13.12, “German Private Equity,” at http://vcexperts.com/vce/library/encyclopedia/documents_view.asp?document_id=1717

“Governing Globalization? The State, Law, and Structural Change in Corporate Governance,” *Journal of Law and Society*, vol. 27, no. 4, pp. 572-600 (December 2000)

“State of the Art: A Review Essay on *Comparative Corporate Governance: The State of the Art and Emerging Research*,” *American Journal of Comparative Law*, vol. 48, no. 3, pp. 501-534 (Summer 2000)

Kazumasu Aoki and John W. Cioffi, “Poles Apart: A Comparative Study of Waste

Management Regulation and Enforcement in the United States and Japan,” *Law and Policy*, vol. 21, no. 3, pp. 213-245 (July 1999)

John W. Cioffi, Robert A. Kagan and Kazumasu Aoki, “A Matter of Style: Environmental Regulation in Japan and the United States,” in Wieger Bakker and Frans van Waarden, eds., *Dutch Journal of Regulation, 1999-2000 Yearbook* (Amsterdam: Uitgeverij Boom, 1999), pp. 171-199, published in Dutch as “Een Kwestie van Stijl: Milieuwetgeving in Japan en de Verenigde Staten,” in Wieger Bakker and Frans van Waarden, red., *Ruimte Rond Regels: Stijl van Regulering en Beleidsuitvoering Vergeleken, Beleid en Maatschappij Jaarboek 1999-2000*

BOOK CHAPTERS:

“After the Fall: Regulatory Lessons from the Global Financial Crisis,” in David Levi-Faur, ed., *Handbook of Regulation*, pp. 642-661 (Edward Elgar, 2011)

“Revenge of the Law?: Securities Litigation Reform and Sarbanes-Oxley’s Structural Regulation of Corporate Governance,” in Martin Levin, Martin Shapiro, and Mark Landy, eds., *Creating Competitive Markets: The Politics and Economics of Regulatory Reform* (Washington, DC: Brookings Institution Press, 2007)

“Building Finance Capitalism: The Regulatory Politics of Corporate Governance Reform in the United States and Germany,” in Jonah Levy, ed., *The State After Statism: New State Activities in the Age of Globalization and Liberalization* (Cambridge, MA: Harvard University Press, 2006)

“Governing Globalization? The State, Law, and Structural Change in Corporate Governance,” in Thomas Clarke, ed., *Corporate Governance: Critical Perspectives on Business and Management, Volume V: Contemporary Corporate Governance* (London and New York: Routledge, 2004)

“The State of the Corporation: State Power, Politics, Policymaking and Corporate Governance in the United States, Germany, and France,” in Martin Shapiro and Martin Levin, eds., *Transatlantic Policymaking in an Age of Austerity* (Washington, DC: Georgetown University Press, 2004)

John W. Cioffi and Steven S. Cohen, “The Advantages of Forwardness: The State, Law, and Corporate Governance in an Age of Globalization,” Chapter 10 in Steven Cohen and Gavin Boyd, eds., *Globalization and Corporate Governance: Strategic and Long Range Planning Issues* (Cheltenham, UK and Northampton, MA: Matthew Elgar, 2000), pp. 307-349

Kazumasu Aoki and John W. Cioffi, “Poles Apart: Industrial Waste Management Regulation and Enforcement in the United States and Japan” Chapter 2 (lead chapter) in Robert A. Kagan and Lee Axelrad, *Regulatory Encounters: The Regulatory Experiences of Multinational Corporations under Different Legal Regimes* (University of California Press, 2000), pp. 33-63

BOOK REVIEWS:

Review of Pepper D. Culpepper, *Quiet Politics and Business Power: Corporate Control in Europe and Japan* (Cambridge University Press, 2011), *Perspectives on Politics*, vol. __, no. __, pp. __-__ (in press, December 2011)

Review of William I. Robinson, *A Theory of Global Capitalism: Production, Class, and State in a Transnational World* (Johns Hopkins University Press, 2004), *Journal of Politics*, vol. 69, no. 3, pp. 880-882 (2007)

Review of Mark J. Roe, *The Political Determinants of Corporate Governance: Political Context, Corporate Impact* (Oxford University Press, 2003), *American Journal of Comparative Law*, vol. 52, no. 3, pp. 763-770 (2005)

Review of Joseph A. McCahery, Piet Moerland, Theo Raaijmakers, and Luc Renneboog, editors, *Corporate Governance Regimes: Convergence and Diversity* (Oxford University Press, 2002), *American Journal of Comparative Law*, vol. 52, no. 3, pp. 770-776 (2005)

WORKING PAPERS AND REPORTS:

Irresistible Forces and Political Obstacles: Securities Litigation Reform and the Structural Regulation of Corporate Governance, *Comparative Research in Law and Political Economy* (CLPE), Research Paper No. 7/2006 (May 2006), Law Research Institute Research Paper Series, York University, Osgoode School of Law, Toronto, Canada, available on line at http://papers.ssrn.com/sol3/papers.cfm?abstract_id=902648

Corporate Governance Reform, Regulatory Politics, and the Foundations of Finance Capitalism in the United States and Germany, *Comparative Research in Law and Political Economy* (CLPE) Research Paper 6/2005 (October 2005), Law Research Institute Research Paper Series, York University, Osgoode School of Law, Toronto, Canada, available on line at <http://ssrn.com/abstract=830065>

Expansive Retrenchment: The Regulatory Politics of Corporate Governance Reform and the Foundations of Finance Capitalism, Institute of European Studies, University of California, Berkeley, Conference Paper (November 2003), available on line at <http://ies.berkeley.edu/research/#sas>

Restructuring "Germany, Inc.": The Corporate Governance Debate and the Politics of Company Law Reform, Institute of European Studies, University of California, Berkeley, *Political Economy of International Finance*, Working Paper PEIF-1, available on line at <http://repositories.cdlib.org/ies/peif/1> (April 15, 2002); republished online by VC Experts, posted at http://vcexperts.com/vce/library/encyclopedia/documents_view.asp?document_id=811

The Collapse of the European Union Directive on Corporate Takeovers: The EU, National Politics, and the Limits of Integration, BRIE Discussion Paper, Berkeley Roundtable on the International Economy (September 2001)

Governing Globalization? The State, Law, and Structural Change in Corporate Governance, BRIE Conference Paper No. 2 (Berkeley, CA: University of California, Berkeley Roundtable on the International Economy, 2000)

The Digital Economy in International Perspective: Common Construction or Regional Rivalry? An Analytical Summary, E-conomy Project™ Working Paper No. 1 (June 1999)

The Digital Economy in International Perspective: Common Construction or Regional Rivalry? Analytical Summary and Conference Report, prepared for the University of California E-conomy Project™, posted at <http://e-conomy.berkeley.edu/events/deip/summary.html> (June 1999)

Conference on Issues in Global Electronic Commerce, Analytical Summary and Conference Report, prepared for the University of California E-conomy Project™; the European Union Center of California; and the University of Southern California, Annenberg School of Communications (May 1999)

A Conference on the Legal and Policy Framework for Global Electronic Commerce, Conference Summary and Report, prepared for BRIE, the School of Information Management and Systems (SIMS), and the University of California E-conomy Project™ (April 1999)

FELLOWSHIPS and GRANTS

Academic Senate Research Grant, University of California, Riverside (2011-2011)

Academic Senate Research Grant, University of California, Riverside (2010-2011)

Academic Senate Research Grant, University of California, Riverside (2009-2010)

Academic Senate Research Grant, University of California, Riverside (2008-2009)

Academic Senate Research Grant, University of California, Riverside (2006-2007)

Academic Senate Research Grant, University of California, Riverside (2003-2004)

Research Fellowship, Max Planck Institute for Social Research, Cologne, Germany, (Summer 2003)

Academic Senate Research Grant, University of California, Riverside (2002-2003)

Academic Senate Research Grant, University of California, Riverside (2001-2002)

Departmental Research Grant, Department of Political Science, University of California, Riverside (2001-2002)

Continuing Student Fellowship, Department of Political Science, University of California, Berkeley (2000-2001)

Simpson Dissertation Fellow, Institute of International Studies, University of California, Berkeley (2000-2001)

Hewlett Dissertation Write-Up Fellowship, Institute of International Studies, University of California, Berkeley (2000-2001)

National Science Foundation Dissertation Research Grant, Public Law Program, Grant No. SES-9906158, for “Dissertation Research: Public Law and Private Power: The Comparative Political Economy of Corporate Governance in the U.S. and Germany” (1999-2001)

Humanities Research Travel Grant, University of California, Berkeley (1999)

Sharlin Fellowship, Institute of International Studies, University of California, Berkeley (1999-2000)

Regents Fellowship, University of California, Berkeley (1994-1998)

HONORS and AWARDS:

Best Article Award for 2009, *Regulation & Governance*, for “Adversarialism vs Legalism: Juridification and Litigation in Corporate Governance Reform” (February, 2010)

Nominee, Best Paper in Comparative Political Economy, Midwest Political Science Association, 2007

Nominee, Best Dissertation in Political Economy (2000-2002), American Political Science Association, Organized Section on Political Economy (nominated by the Department of Political Science, University of California, Berkeley)

Outstanding Graduate Student Instructor, 1995-1996 (for Constitutional Law, Parts A & B), University of California, Berkeley

Distinction in Public Law (Spring 1997)

Order of the Coif, Rutgers University, School of Law-Newark (June 1990)

West Publishing Company Scholarship Award for Scholarly Accomplishment, Rutgers University, School of Law-Newark (June 1990)

Departmental Honors in English, Rutgers University, Rutgers College (June 1986)

Departmental Honors in Political Science, Rutgers University, Rutgers College (June 1986)

Rutgers College General Honors Program, Rutgers University (1983-1986)

Department of English Honors Program, Rutgers University (1984-1986)

ACADEMIC SERVICE:

UNIVERSITY OF CALIFORNIA, RIVERSIDE

Department of Political Science:

Director of Graduate Studies and Graduate Advisor (2010—present)

Graduate Studies Committee (2005—present)

Campus-Wide and Academic Senate:

Chair, Committee on Rules and Jurisdiction (2009-2010, 2005-2007)

Committee on Rules and Jurisdiction (2004—2007)

Pre-Law Advisor (2001—2010)

Editorial Board, *Policy Matters*, Public Policy Initiative (2007— present)

Development Committee, Center for Law, Science and Society (2006—2008)

College of Humanities and Social Sciences:

Committee in Charge, Public Administration Program, College of Humanities and Social Sciences (2012—)

Committee in Charge, Law and Society Program, College of Humanities and Social Sciences (2005— present)

Participating Faculty and member of founding faculty group, Symposium in the Law, College of Humanities and Social Sciences (2005— 2011)

Committee in Charge, Asian Studies Program, College of Humanities and Social Sciences (2001-2006)

Affiliated Faculty, Law and Society Program, College of Humanities and Social Sciences (2001— present)

Participating Faculty and Seminar Instructor, UC Sacramento Program, Seminar on Law, Policy and Politics (Spring 2006)

Faculty Search Committees:

Search Committee for Position in Comparative Regulation and Public Law, Department of Political Science (2007-2008)

Search Committee for Position in Political Ecology, Department of Anthropology (2007-2008)

Search Committee for Position in Comparative Politics, Nationalism and Ethnic Politics, Department of Political Science (2006-2007)

Campus-Wide Search Committee for Position in Native American Sovereignty, Interdisciplinary Program in Native American Studies, College of Humanities, Arts and Social Sciences (October 2003-May 2004)

Search Committee for Position in American Politics, Public Policy, and Political Economy, Department of Political Science (2002-2003)

Search Committee for Position in American Politics, Public Policy, and Political Economy, Department of Political Science (2001-2002)

Miscellaneous:

Advisory Council, Program on Global Studies (POGS), College of Humanities and Social Sciences (2001— present)

Faculty and Advisory Committee, Paralegal and Legal Nurse Consultant Certificate Programs (Spring 2002-2007)

Humanities Research Grant Committee, College of Humanities and Social Sciences (Spring 2002)

General

Article Reviewer: Comparative Politics, Comparative Political Studies, Corporate Governance: An International Review, International Organization, Journal of World-Systems Research, Law & Policy, Law & Social Inquiry, Law & Society Review, New Political Economy, Organizational Science, Regulation & Governance, Review of International Political Economy, Socio-Economic Review, University of New South Wales Law Review

Manuscript Reviewer: Brookings Institution Press, Cambridge University Press, Oxford University Press

Grant Proposal Reviewer, National Science Foundation, Law and Social Science Program;
Social Sciences and Humanities Research Council of Canada

ACADEMIC CONFERENCES AND INVITED TALKS:

A Grim Retrospective of Comparative Corporate Governance and Financial Regulation Reform after the Global Financial Crisis, Fordham University School of Law, Fordham Corporate Law Center, New York (May 2012)

“Judgment and Responsibility: The Exercising of Directorial Power,” invited talk, “Directors Duties in the Aftermath of Centro,” workshop co-sponsored by the Australian Research Council and the Centre for Law, Markets and Regulation, Faculty of Law, University of New South Wales (September 2011)

“Conducting Research on Corporate Governance and Regulatory Reform,” invited speaker, Corporate Governance Roundtable, Faculty of Law, University of New South Wales (September 2011)

“Remarks: Litigation, Institutional design, and Financial Sector Regulatory Enforcement,” invited discussant, Roundtable Briefing with the Chairman and Deputy Chair, Australian Securities and Investments Commission (ASIC), Sydney, Australia (September 2011)

“Varieties of Liberalism,” invited commentator/critic, workshop sponsored by Centre for Law, Markets and Regulation, Faculty of Law, University of New South Wales (September 2011)

Public Law and Private Power in the Age of Financialization, American Political Science Association Annual Meeting, Seattle, WA (September 2011)

Varieties of Regulation and Governance in the Shadow of Crisis, Society for the Advancement of Socio-Economics, Annual Meeting, Madrid, Spain (June 2011)

“Rights Revolutions” and Their Discontents: Political and Historical Perspectives on Legal Liberalism in the US and EU, Council for European Studies, 2011 International Conference, Barcelona, Spain (June 2011)

“Public Law and Private Power,” presentation for joint authors meet critics book panel, Council for European Studies, 2011 International Conference, Barcelona, Spain (June 2011)

The Collapse of Countervailing Power, the Rise Finance Capitalism, and Berle's Ambiguous Legacy, Law & Society Association Annual Meeting, San Francisco, CA (June 2011)

Adolf Berle's Ambiguous Legacy and the Collapse of Countervailing Power, Second Annual Symposium, Adolf A. Berle, Jr. Center on Corporations, Law & Society, Seattle University Law School, Seattle, WA (January 2011)

After the Fall: The Global Financial Crisis, the Failure of Neo-Liberal Governance, and the Legitimacy Crisis of Finance Capitalism, American Political Science Association Annual Meeting, Washington, DC (September 2010)

Persistence and Perversity: The Global Financial Crisis, the Failure of Reform, and the Legitimacy Crisis of Finance Capitalism, Western Political Science Association, San Francisco, CA (April 2010)

“Comments on the Comparative Law and Political Economy of Financial Regulation,” Symposium: A Changing of the Guard: The Future of Law and Development Under Obama, sponsored by *The North Carolina Journal of International Law and Commercial Regulation*, The Kenan Center, University of North Carolina, Kenan-Flagler Business School (January 2010)

Adversarialism vs Legalism: Juridification, Marketization, and the Constrained Role of Litigation in the Reform of Securities and Company Law, Law & Society Association Annual Meeting, Denver, CO (June 2009)

Legal Regimes and Political Particularism: A Comparative Law Critique of the 'Legal Families' Theory, Brigham Young University Law Review Symposium, Evaluating Legal Origins Theory, Brigham Young University Law School, Provo, UT (February 2009)

Corporate Governance, Law, and the State of Comparative Political Economy, American Political Science Association Annual Meeting, Boston, MA (August 2008)

Between Institutional Complementarities and Global Incentives: Hybridization Pathways Among Advanced Industrial Democracies, International Studies Association (San Francisco, CA (March 2008)

Discussant and Chair, Panel on “Independent Regulation of Public Services in the Global South: The Politics of Basic Goods and the Challenges of Institutional Transplant,” International Studies Association, San Francisco, CA (March 2008)

The Comparative Political Economy of Corporate Governance Reform, Berkeley Workshop on Comparative Corporate Governance, Institute for Research on Labor and Employment and Institute of European Studies, University of California, Berkeley, CA (March 2008)

Corporate Governance, Law, and the State of Comparative Political Economy, Conference of the Council for European Studies, Chicago, IL (March 2008)

The U.S. Attorneys Firing Scandal, the Constitution, and the Future of the Rule of Law, LIFE Society, UCR Extension, Riverside, CA (October 2007)

Globalisation of American Law? Theoretical Debates and Empirical Evidence from Europe, Law & Society Association Annual Conference, Berlin, July 2007

Institutional Change and the Law: A Comparative Look at Corporate Governance and Financial System Reform, Max Planck Institute, Cologne, Germany, July 2007

Boom, Bust, and Backlash: Corporate Governance Reform Anti-Litigation Politics, and Structural Regulation in the United States, paper delivered to the Midwest Political Science Association Annual Meeting, Chicago, IL (April 2007)

The Business of Backlash: Managerial Acquiescence and Opposition to Post-Enron Reforms, Western Political Science Association Annual Meeting, Las Vegas, NV (March 2007)

Supreme Court Nominations as Kabuki Dance—Legitimation of the Rule of Law and Power Politics in the Senate, invited talk to the California Bar Association, Public Law Section, California Bar Association Annual Meeting, Monterey, CA (October 2006)

Power and Politics in Corporate Governance Reform, Discussant, American Political Science Association Annual Meeting, Philadelphia, PA (September 2006)

Supreme Court Nominations as Kabuki Dance, invited talk to the Riverside and San Bernardino Counties Bar Associations (January 2006)

The Political Economy of the Firm, Comparative Research on Law and Political Economy, Osgoode Hall Law School, York University, Toronto, Canada (October 2005)

Roundtable Discussion on Peter Gourevitch and James Shinn, *Political Power and Corporate Control: The New Global Politics of Corporate Governance*, American Political Science Association Annual Meeting, Washington, DC (September 2005)

Boundary Conditions: The Corporation, Regulatory State, and the Public-Private Distinction, American Political Science Association Annual Meeting, Washington, DC (September 2005)

Irresistible Forces and Political Obstacles: Securities Litigation Reform and Structural Regulation of Corporate Governance, Law & Society Association, Las Vegas, NV (June 2005)

Revenge of the Law?: Securities Litigation Reform and Structural Re-Regulation in the United States, 1995-2005, Institute for Global Conflict and Cooperation, Graduate School of International Relations and Pacific Studies, University of California, San Diego, La Jolla, CA (April 2005)

The New Finance Capitalism: The Internationalization of Finance and the Political Foundations of Corporate Governance Reform, International Studies Association, Honolulu, Hawaii (March 2005)

Revenge of the Law?: Securities Regulation, Tort-Reform, and Re-Regulation in the United States, 1992-2002, The Politics and Economics of the Market, Gordon Policy Center and

Department of Political Science, Brandeis University, Waltham Massachusetts (February 2005)

Left Parties, Political Constituencies, and Finance Capitalism, Comparative Corporate Governance in the Pacific Region, Pacific Rim Research Program and Institute on Global Conflict and Cooperation, University of California, San Diego (October 2004)

The Political Paradox of Finance Capitalism: Interests, Preferences, and Center-Left Politics in Corporate Governance Reform, with co-author Martin Höpner, American Political Science Association Annual Meeting, Chicago (August 2004)

Antitrust and Competition Policy in the Digital Era: Microsoft in the United States and European Union, guest lecture, Berkeley Roundtable on the International Economy, University of California, Berkeley (April 2004)

The Political Paradox of Finance Capitalism: Interests, Preferences, and Center-Left Politics in Corporate Governance Reform, with co-author Martin Höpner, Conference of Europeanists, Chicago, Illinois (March 2004)

Expansive Retrenchment: The Regulatory Politics of Corporate Governance Reform and the Foundations of Finance Capitalism, Conference on “The State After Statism,” Institute of European Studies, University of California, Berkeley (November 2003)

The Sarbanes-Oxley Act, Structural Regulation, and the Politics of Regulatory Reform in the United States, Colloquium on Regulation and Public Policy, Gordon Policy Center and Department of Political Science, Brandeis University, Waltham Massachusetts (October 2003)

“*Structural Regulation*”: *The Corporation and the Politics of Positional Goods in Germany and the United States*, German Studies Association, New Orleans, Louisiana (September 2003)

Expansive Retrenchment: The Regulatory Politics of Corporate Governance Reform and the Foundations of Finance Capitalism, American Political Science Association Annual Meeting, Boston, Massachusetts, (August 2003)

The Politics of Positional Goods: The Comparative Political Economy of Rules and Institutions in Corporate Governance Reform, conference on “The Politics of Corporate Governance,” Max Planck Institute for the Study of Societies, Cologne, Germany (July 2003)

The Politics of Company and Takeover Law Reform in Germany and the European Union, presentation at the Max Planck Institute for the Study of Societies, Cologne, Germany (July 2003)

State of the Corporation: Corporate Governance and Financial System Reform in the United States, Germany, and France, Conference on “The New Politics of Policymaking, Comparative Politics and Policymaking at the New Century,” Gordon Policy Center, Brandeis University (November 2002)

Mechanisms of Regulation and Mechanisms of Governance: The Political Economy of Corporate Governance Reform, Conference on “The State After Statism,” University of California, Berkeley (October 2002)

Accounting Standards as Industrial Policy, Faculty Seminar Convened by the Berkeley Roundtable on the International Economy and Boalt Hall School of Law, University of California, Berkeley (October 2002)

German Corporate Governance Reform in Comparative Perspective, American Political Science Association Annual Meeting, Boston, Massachusetts, (September 2002)

Restructuring Germany, Inc.: The Corporate Governance Debate and the Politics of Company Law Reform, Law & Society Association Annual Meeting, Vancouver, Canada (June 2002)

Control, Transparency, and the Politics of Company and Takeover Law Reform in Germany and the European Union, Conference of Europeanists, Council of European Studies, Chicago, Illinois (March 2002)

Restructuring Germany, Inc.? The Corporate Governance Debate and the Politics of Company Law Reform, paper presented at the Institute of European Studies, University of California, Berkeley (March 2002)

The New Legal Landscape of the Digital Economy, guest lecture, Berkeley Roundtable on the International Economy, University of California, Berkeley (March 2002)

Governance of the Digital Economy: Emerging Issues and Political Conflicts in Europe, ETLA-BRIE Workshop on Collaborative Research on the Digital Economy, University of California, Berkeley (December 2001)

Comparative Capitalisms and Corporate Governance Regimes: A Comparative Analysis of Legal Change and Institutional Structure, talk delivered to Business, Government, and International Economy Unit, Harvard Business School, Cambridge (January 2001)

Comparative Capitalisms and Corporate Governance Regimes: A Comparative Analysis of Legal Change and Institutional Structure, talk delivered to the Department of Political Science Department, University of Washington, Seattle (November 2000)

Comparative Capitalisms and Corporate Governance Regimes: A Comparative Analysis of Legal Change and Institutional Structure, paper presented at the American Political Science Association Annual Meeting 2000, Washington, DC (September 2000)

Governing Globalization? The State, Law, and Structural Change in Corporate Governance, paper presented at the Law & Society Annual Meeting, Miami, Florida (May 2000)

Governing Globalization? The State, Law, and Structural Change in Corporate Governance, paper presented at the Council of European Studies Annual Conference, Chicago, Illinois (March 2000)

United Nations Ad Hoc Expert Committee Meeting on Economic Governance and Globalization: Conceptual and Policy Issues, Department of Economic and Social Affairs, New York, committee member and panel commentator on comparative corporate governance, regulatory politics, and development (September 1999)

The Advantages of Forwardness: The Interdependence of the State, Law, and Corporate Governance In an Age of Globalization, paper delivered and concluding commentator, Conference and Symposium on Corporate Governance and Globalization, St. Mary's University, Halifax, Canada (September 1999)

Social Values and the Comparative Law and Politics of Electronic Commerce, presentation at Conference on Issues in Global Electronic Commerce, Annenberg Center for Communication, University of Southern California, Los Angeles, California (May 1999)

Conference on Globalization, Europeanization, and Political Economy in Europe, Center for Advanced Study in Behavioral Sciences, University of California, Berkeley, commentator on comparative corporate governance and economic globalization (April 1998)

The Political Logic of Corporate Governance: The Constitution of the Firm in Comparative Perspective, paper delivered at the Law & Society Association Annual Meeting, Aspen, Colorado (June 1998)

Political Incorporation: The Comparative Political Economy of Corporate Governance and Juridical Structure, paper delivered at the Law & Society Association Annual Meeting, Aspen, Colorado (June 1998)

A Comparative Analysis of Environmental Law, Regulation, and Regulatory Enforcement in the U.S. and Japan, paper delivered at the Sho Sato Conference on Socio-Legal Perspective on Regulation in the United States and Japan, Boalt Hall School of Law, University of California, Berkeley (April 1997)

Same Wine, Different Bottles: Waste Management Regulation in the United States and Japan, paper delivered at the Law & Society Association Annual Meeting, St. Louis, Missouri (May 1997)

RESEARCH EXPERIENCE AND AFFILIATIONS:

Editor and Editorial Board Member, Comparative Research in Law and Political Economy

(CLPE), Working Paper Series, Osgoode Hall Law School, York University
(2005— present)

Advisory Board Member, Comparative Research in Law and Political Economy, Research Network, Osgoode Hall Law School, York University
(2004— present)

Visiting Fellow, Max-Planck-Institute for Social Research, Cologne, Germany
(Summer 2003)

Visiting Researcher, Wissenschaftszentrum Berlin für Sozial Forschung (WZB)
(Summer 1999, Fall 1999, Summer 2000)

Affiliated Researcher, Berkeley Roundtable on the International Economy (BRIE)
(2001— present)

Research Associate, Berkeley Roundtable on the International Economy (BRIE)
(1998-2001)

Research Associate, Berkeley Comparative Legal Systems Project, Center for the Study of Law & Society, University of California, Berkeley
(June 1995-July 1999)

Coordinator and Researcher, The Political Economy of Governance Study (PEGS), Joint Project of the Center for the Study of Law & Society and the Berkeley Roundtable on the International Economy (BRIE)
(May-December 1998)

Research Assistant to Prof. Harold L. Wilensky, Institute for Governmental Studies and Department of Political Science, University of California, Berkeley
(June 1997-March 1998)

Research Assistant to Professor Wendy J. Gordon, Rutgers School of Law-Newark
(1989-1990)

Research Assistant to Professor James G. Pope, Rutgers School of Law-Newark
(1988)

MISCELLANEOUS:

Assistant Counsel, New York Judicial Screening Committee
(October 1992-October 1993)

Board of Directors and Treasurer, Rutgers Public Interest Law Foundation (PILF)
(September 1988-May 1990)

MEMBER:

American Political Science Association
Law and Society Association
Council of European Scholars
New York State Bar (retired from active practice)
New Jersey State Bar (retired from active practice)

BAR ADMISSIONS (retired from active standing):

State of New York
State of New Jersey
Southern District of New York
District of New Jersey